This document provides you with an overview of all the questions and answering possibilities of the self-assessment tool of ENoLL.

We strongly advise you to go through all the questions in this document to make sure you understand the questions in the self-assessment tool in advance and to make sure you collect all the needed information before starting to complete the tool online.

The tool is based on the harmonized evaluation framework developed by ENoLL and covers 6 chapters and 15 criteria of sustainable Living Labs.

The tool allows you to self-assess the sustainability and maturity of your Living Lab.

If you have questions around this document, you can always reach us via enollnetwork@enoll.org.

By completing the self-assessment tool, you agree to your details being held electronically by the European Network of Living Labs. ENoLL will process your personal data on the legal basis of Art. 6, case b) of GDPR. Your data will be processes in compliance with regulation (EU) 2016/679 of the European Parliament and of the council of 27 April 2016, on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (GDPR) and Law 2018/40581 of 30 July 2018 on protection of natural persons with regard to the processing of personal data. Agreeing to this statement allow ENoLL to contact you in relation to this self-assessment if necessary. You can exercise the right of access, rectification, erasure, restriction of processing, portability, and objection, by sending an e-mail to privacy@enoll.org.
A Living Lab is made up of 3 levels, as described by Schuurman in 2015.

- On the **macro level**, a Living Lab is a public-private-people partnership consisting of different stakeholders, organized to carry out Living Lab research and Living Lab projects. We refer to this level as the Living Lab constellation.
- On the **meso level**, we discern the Living Lab innovation projects that are being carried out within the Living Lab constellation. We refer to this as Living Lab project(s).
- The (research) activities that are deployed in a Living Lab we label as **micro level** activities in Living Labs. This consists of a specific Living Lab methodology to cultivate user-led insights and surface tacit, experiential, and domain-based knowledge such that it can be further codified and communicated.

Some Living Labs exist where the Living Lab constellation is set up for only one innovation project, which merges the macro and meso level, but we regard these ‘Living Lab as a project’ initiatives as problematic in terms of sustainability and sub-optimal in terms of added value being generated for the actors involved.

This self-assessment focuses on 6 main building blocks and 15 criteria of sustainable Living Labs across these 3 levels of a Living Lab.

More information about this harmonized evaluation framework can be found [here](#).

Below, you may find a graphical overview of these blocks and criteria coming next. Every main block will start with a short description to increase your understanding.
General information organization

First, we'd like to ask some general questions about your organization and yourself.

What is your full name?

What is your email address? *We will use this email address to send you the results.*

What is the name of your organization?

In which country is your organization located?

To which sector of the quadruple helix is your organization affiliated?

*Select one option*
- Public administration (e.g., city authorities, ministries, ...)
- Private sector (e.g., company, start-up, SME...)
- Academia (e.g., universities, research centers...)
- Society (e.g., NGOs, community centers...)
- Other, namely:

Does your organization host a Living Lab?

Yes/No

*If no go to the next page*

What is the name of your Living Lab?

In which year was your Living Lab founded?

In which sectors is your Living Lab active?

*Multiple answers are possible.*
- Agriculture and Agri-food
- Circular economy
- Culture, creativity, and media
- Education and/or vocational training
- Emerging technologies (e.g. AI, AI/VR...)
- Energy
- Environment and climate change
- Health and Well Being
- Industries and Manufacturing
- Mobility
- Policies
- Regulatory learning
- Rural
- Smart cities and regions
- SME and start-ups
- Social innovation and inclusion
- Urban
- Water (blue economy)
- Zero pollution and decarbonization
- I don’t know
- Other, namely
Strategy

This first chapter addresses long-term aspects of a Living Lab, such as multi-stakeholder participation, the orchestration role of the Living Lab, collaboration strategies, and the business model. Three criteria are used to assess this part.

Governance, including
- a well-defined and shared vision and mission for the Living Lab, based on real identified needs of quadruple helix actors,
- involvement of actors of the quadruple helix on a strategic level
- clearly defined roles and responsibilities within the Living Lab governance team
- a clear strategy roadmap, including the expected impacts of the Living Lab strategy and the Living Lab projects

Business Model including
- a view on the business plan of the Living Lab
- a well-defined and described service portfolio for various phases of innovation and collaboration processes

Culture and collaboration including
- proof of connections/interest to connect with external (regional/national/international) innovation ecosystems,
- smart and adaptive cooperation/collaboration within the Living Lab design to build trust,
- quality of the internal communication processes,
- channels and tools within the Living Lab to build trust

Which different types of stakeholder groups of the quadruple helix are present in the ecosystem of your Living Lab?

Multiple choice

Public sector
- Local government (e.g., city authorities)
- Regional government (e.g., provinces/states)
- National government (e.g., ministries)
- International government (e.g., EU/UN)
- Funding agencies (national/international)
- Funded organizations (e.g., port authorities)

Private sector
- Industry and large private companies
- Start-ups and SME’s
- Angel investors/Accelerator program owners
- Sector organizations and associations

Academia
- Universities
- Schools
- Research centers
- Students
- Science communication centers

Society
- NGO’s
- Think Tanks
- Community centers
- Communities of citizens/users
- Open innovation labs/arrangements (e.g., fablab, citizen science...)

Other, namely:
- I don’t know
To what degree are the strategic parts shown here below implemented/planned for in your Living Lab?

In some cases, these strategic parts are aligned with the strategic roadmap of the organization hosting it (e.g., university Living Labs).

Something is in place when it is fully implemented/operational within your organization/Living Lab
Something is planned for if it is still under development (this includes partly implemented processes)
Something is currently missing if it is not implemented/operational within your organization/Living Lab at this moment

<table>
<thead>
<tr>
<th>In place</th>
<th>Planned for</th>
<th>Currently missing</th>
<th>I don’t know</th>
</tr>
</thead>
<tbody>
<tr>
<td>A shared vision/mission, based on the input of a balanced and diversified group of stakeholders</td>
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<tr>
<td>A governance structure (e.g., steering committee, management structure...)</td>
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<tr>
<td>A strategic roadmap describing the envisioned projects and their expected impacts</td>
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<tr>
<td>Strategic decision-making processes (rules on the governance level about the ways and frequency of decision taking, and the responsibilities of the involved partners)</td>
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<tr>
<td>Partner agreements (signed documents describing the responsibilities and accountabilities of the involved partners)</td>
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<tr>
<td>A Business Plan/Model, including key activities, revenue streams and cost structure</td>
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<tr>
<td>Living Lab services (for customers) covering (all) different phases of the innovation cycle (e.g., the Living Lab integrative process)</td>
<td></td>
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<tr>
<td>An operational Living Lab team (executing Living Lab projects and activities)</td>
<td></td>
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<tr>
<td>An internal monitoring framework assessing the strategic parts of the Living Lab</td>
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<tr>
<td>An external impact assessment framework assessing the impacts the Living Lab is generating</td>
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<tr>
<td>Living Lab infrastructures (e.g., offices, co-creation spaces, testing facilities...)</td>
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<td>Living Lab equipment (hard- and software) (e.g., co-creation materials, computers, wearables, interaction software, polling/survey software...)</td>
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</table>
What types of stakeholders are actively involved in the development of the vision and mission of the Living Lab and the governance structure of the Living Lab?

Stakeholders are actively involved in the mission/vision if they actively participated in the creation of it (e.g., co-creation workshops, community of practice meeting...)

Stakeholders are actively involved in the governance structure if they are actively participating in the strategic decision-making processes of the Living Lab (e.g., management meetings, advisory board...)

**Multiple answers are possible**

<table>
<thead>
<tr>
<th>Carried forward answers from Q1</th>
<th>Involved in the shared vision/mission</th>
<th>Involved in the governance structure</th>
<th>A partner agreement is signed with them</th>
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</thead>
<tbody>
<tr>
<td><strong>Public sector</strong></td>
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<tr>
<td>Local government (e.g., city authorities)</td>
<td>3x/year</td>
<td>6x/year</td>
<td>monthly</td>
</tr>
<tr>
<td>Regional government (e.g., provinces/states)</td>
<td>4x/year</td>
<td>4x/year</td>
<td>more than monthly</td>
</tr>
<tr>
<td>National government (e.g., ministries)</td>
<td>6x/year</td>
<td>2x/year</td>
<td>I don't know</td>
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<tr>
<td>International government (e.g., EU/UN)</td>
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<tr>
<td>Funding agencies (national/international)</td>
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<tr>
<td>Funded organizations (e.g., port authorities)</td>
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<tr>
<td><strong>Private sector</strong></td>
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<tr>
<td>Industry and large private companies</td>
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<tr>
<td>Start-ups and SME’s</td>
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<tr>
<td>Angel investors/Accelerator program owners</td>
<td>2x/year</td>
<td></td>
<td></td>
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<tr>
<td>Sector organizations and associations</td>
<td>3x/year</td>
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<td></td>
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<tr>
<td><strong>Academia</strong></td>
<td></td>
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<tr>
<td>Universities</td>
<td>1x/year</td>
<td>1x/year</td>
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<tr>
<td>Schools</td>
<td>2x/year</td>
<td>2x/year</td>
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<tr>
<td>Research centers</td>
<td>3x/year</td>
<td>3x/year</td>
<td></td>
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<tr>
<td>Students</td>
<td>4x/year</td>
<td>4x/year</td>
<td>more than monthly</td>
</tr>
<tr>
<td>Science communication centers</td>
<td>6x/year</td>
<td>6x/year</td>
<td>I don't know</td>
</tr>
<tr>
<td><strong>Society</strong></td>
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<tr>
<td>NGO’s</td>
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<tr>
<td>Think Tanks</td>
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<tr>
<td>Community centers</td>
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<tr>
<td>Communities of citizens/users</td>
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<tr>
<td>Open innovation</td>
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<tr>
<td>labs/arrangements (e.g., fablab, citizen science...)</td>
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</tbody>
</table>

How frequently does the managing group/governance team of the Living Lab organizes meetings to monitor the progress of the Living Lab and make strategic decisions?

<table>
<thead>
<tr>
<th>How frequently</th>
<th>3x/year</th>
<th>4x/year</th>
<th>6x/year</th>
<th>More than monthly</th>
<th>Monthly</th>
<th>I don’t know</th>
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<tbody>
<tr>
<td>&lt;1x/year</td>
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<td>1x/year</td>
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<td>2x/year</td>
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</table>

How frequently does the Living Lab **internally** share strategic decisions, information about upcoming actions, and results of past projects/activities, beyond the scope of an individual Living Lab project, with their strategic partners and Living Lab staff?

*We are looking for the frequency of sharing beyond the information shared in the meetings of the managing group and/or governance team.*

<table>
<thead>
<tr>
<th>How frequently</th>
<th>3x/year</th>
<th>4x/year</th>
<th>6x/year</th>
<th>More than monthly</th>
<th>Monthly</th>
<th>I don’t know</th>
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</thead>
<tbody>
<tr>
<td>&lt;1x/year</td>
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<tr>
<td>1x/year</td>
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<td>2x/year</td>
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</tbody>
</table>
A business model of a Living Lab describes the way and the key activities via which the Living Lab offers solutions and services to solve problems of their stakeholders, customers and users. Next to this, it describes who are the main stakeholder target groups, customers and users of the Living Lab. Finally, it determines the necessary resources to do so and describes the costs and revenues of the Living Lab.

Which of the following elements are currently present in the business model of your Living Lab?

Multiple answer(s) are possible.

Value proposition(s) (solutions and services to solve problems)
Key activities (overview of activities performed by the Living Lab, e.g., co-creation workshops, events, survey...)
Customer segments (overview of possible clients paying for the services/solutions of the Living Lab)
User segments (overview of groups of people needed to be involved in Living Lab activities)
Key resources (overview of the necessary items needed to run the Living Lab, e.g., co-creation space, software...)
Cost structure (which expenses need to be calculated for to run the Living Lab, e.g., personnel, office space...)
Revenue streams (how will the Living Lab earn money, e.g., paid Living Lab services like workshop facilitation...)
Other, namely: I don't know

Living Labs use so-called Living Lab innovation cycles to run their Living Lab projects. Two of the most common used methodologies in Living Labs are the innovation lifecycle approach and the Living Lab integrative process.

Within the innovation lifecycle approach four phases are identified: exploration, co-creation, experimentation, and evaluation.

The Living Lab integrative process uses 3 spaces (problem-solution-deployment), divided in 8 steps like shown in the picture here below

Living Lab services are mostly related to one or more of these identified innovation phases and/or steps.

Some common services are:
- testing and validation services (e.g., end-user engagement, rapid prototyping, experimentation, usability, real-life testing...)
- innovation network orchestration (e.g., community and network building, stakeholder mapping, stakeholder events...)
- Living Lab project planning and management (Living Lab as a service)
- co-creation services (e.g., idea selection, facilitation workshops, focus groups, co-design...)
- capacity building services (e.g., trainings, mentoring, awareness raising...)
- advisory services (e.g., analytical/research services, benchmarking, foresight, regulation support...)
- market and sales support (e.g., deployment services, scaling up solutions to other Living Labs...)
- infrastructure and data management services (e.g., equipment and facility rental, Living Lab as research/technology infrastructure)
For which of the different steps of the Living Lab innovation cycle is your Living Lab offering Living Lab services to its customers?

*In this self-assessment, we use the Living Lab integrative process to match the Living Lab services since this process is the most detailed approach.*

**Multiple answers are possible.**

- Practice selection (e.g., idea selection, visioning/missioning exercises)
- Integration of stakeholders (e.g., community and network building, stakeholder mapping)
- Identification of barriers (e.g., analytical/research services, focus groups)
- Co-creation/co-design of solutions
- Piloting a solution (e.g., rapid prototyping, experimentation, usability, real-life testing)
- Evaluating a solution (e.g., end-user engagement, analytical/research services)
- Demonstrating a solution (e.g., equipment and facility rental, Living Lab as research/technology infrastructure)
- Exploiting a solution (e.g., deployment services, scaling up to other Living Labs)

Other, namely:

- None of the above
- I don’t know

Good relationships between the Living Lab and its internal partners and external customers, suppliers, and other stakeholders (networks) are crucial for the viability of a Living Lab.  

*Internal business management processes are describing the ways the Living Lab interacts and communicates with its internal partners and Living Lab team staff (e.g., minutes of governance meetings, frequency of team meetings...)*

*External business management processes are describing the way the Living Lab interacts with (possible) clients and (possible) new partners of the Living Lab. This is not the same as the community management processes with the users of the Living Lab (e.g., offering procedures of the Living Lab to the client, intake processes of new partners...)*

*Ethics management processes are describing the way the Living Lab ensures working in an ethical way.*

*Intellectual property (IP) management processes are describing the way the Living Lab deals with the ownership of results of Living Lab projects/products/services/solutions/...*

Which types of management processes are in place in your Living Lab?

- **Something is in place** when it is fully implemented/operational within your organization/Living Lab
- **Something is planned for** if it is still under development (this includes partly implemented processes)
- **Something is currently missing** if it is not implemented/operational within your organization/Living Lab at this moment

**Multiple answers are possible.**

<table>
<thead>
<tr>
<th>In place</th>
<th>Planned for</th>
<th>Currently missing</th>
<th>I don’t know</th>
</tr>
</thead>
<tbody>
<tr>
<td>Internal business management strategy and processes <em>(existing partners and Living Lab team staff)</em></td>
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<tr>
<td>External business management strategy and processes <em>(clients and new possible partners of the Living Lab)</em></td>
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<tr>
<td>Ethics management</td>
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</tr>
<tr>
<td>Intellectual property (IP) management</td>
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</table>
With how many individual Living Labs or other innovation networks has your Living Lab been actively collaborating over the last 3 years on a local, regional, national, or international scale beyond the scope of one individual Living Lab project?

Local collaboration is collaboration within a city/municipality
Regional collaboration is collaboration within a province/region/state (e.g. Flanders, Catalunya, Normandy)
National collaboration is collaboration within one country
International collaboration is collaboration beyond borders of one country

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<tr>
<th></th>
<th>0</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
<th>+5</th>
<th>+10</th>
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<td>Locally</td>
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<td>Internationally</td>
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I don't know
Operations

The second chapter of this self-assessment is looking at the way the Living Lab manages its operations, including human resources and necessary equipment & infrastructure of the Living Lab. Three evaluation criteria are used to assess this part:

**Human resources**: including
- availability of qualified staff
- assignment of qualified staff to different roles and responsibilities

**Operations**: including
- running and finished Living Lab projects
- monitoring processes for operational aspects of the Living Lab
- open innovation project management
- status of the Living Lab in general

**Equipment and infrastructure**: including
- allocation of necessary Living Lab equipment and infrastructures (e.g., software, hardware, spaces) to the Living Lab team
- availability of necessary Living Lab equipment and infrastructures (e.g., software, hardware, spaces) to the Living Lab team, indicated in time (from continuous to rarely)

When running or setting up a Living Lab at the operational level it is important to define and assign different roles within the Living Lab. The most common roles in an operational Living Lab team are:

- **Living Lab manager**, focusing on the macro-level of the Living Lab by initiating and monitoring the Living Lab strategy via the development of Living Lab projects for their utilizers, while managing the day-by-day activities of the Living Lab.
- **Project manager(s)**, managing entire individual Living Lab projects with a defined scope (meso-level).
- **Panel manager(s)**, planning and coordinating the interaction with a panel of users, citizens and other actors involved in Living Lab activities, by identifying and recruiting these users, while interacting with them and safeguarding the user-centricity of the Living Lab methodologies and activities.
- **Pilot manager(s)**, facilitating the implementation and testing of innovative solutions within the real-life contexts of the users of a Living Lab project.
- **Researcher(s)**, also called Human Interaction specialist(s), designing, and planning the innovation process in an integrative way, while analysing the results of user-centred interaction activities.

Which internal roles, expressed in allocated working time (FTE), have been allocated to run the Living Lab operations?

Measuring the assigned time to the different roles of a functioning Living Lab team is an indicator about how well the Living Lab is structured and organized. We ask for allocated time because a monetary figure would be too hard to compare between different countries/regions.

*FTE* stands for full time equivalent. It's a measurement used to figure out the number of full-time hours worked by employees. If your organization considers 40 hours to be a full-time workweek, then an employee working 40 hours per week would have an FTE of 1, a part-time employee working only 20 hours per week would have an FTE of 0.5.

<table>
<thead>
<tr>
<th>Role</th>
<th>FTE</th>
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<tbody>
<tr>
<td>Living Lab Manager</td>
<td>0/0.5/1/1.5/2/2.5/3/3.5/4/More than 4</td>
</tr>
<tr>
<td>Researcher (human interaction specialist)</td>
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<tr>
<td>Panel and/or community manager</td>
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<tr>
<td>Pilot manager</td>
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<tr>
<td>Project manager</td>
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<tr>
<td>Other, namely:</td>
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</table>
The meso-level of a Living Lab are the Living Lab projects that the Living Lab is running and/or participating in. These projects use an open innovation approach, usually based on a Living Lab methodology (e.g., the Living Lab integrative process, Living Lab innovation lifecycle).

How many Living Lab projects has your Living Lab completed over the last 3 years?
*If your Living Lab is younger than 3 years, please count all finished Living Lab projects since the foundation of your Living Lab.*
0/1/2/3/4/5/6/7/8/9/10/More than 10/I don’t know

How much time (in person months) was allocated to running and/or participating in these projects? *One PM equals one employee working full time on the project for one month.*
*If your Living Lab is younger than 3 years, please count all finished Living Lab projects since the foundation of your Living Lab.*
Select one option.
0 - <1PM - 1 to 3PM - 3 to 6 PM - 6 to 12 PM - More than 12 PM - More than 24 PM - More than 36PM - I don’t know

How frequently are the following internal components of the Living Lab followed up by the managing team/governance team through self-monitoring processes?
*Measuring the frequency of monitoring is an indicator of how close the progress and development of the Living Lab is followed up by the involved partners, allowing them to adjust strategies and processes more closely.*

<table>
<thead>
<tr>
<th>Component</th>
<th>Not being monitored</th>
<th>&lt;1x/year</th>
<th>1x/year</th>
<th>2x/year</th>
<th>3x/year</th>
<th>4x/year</th>
<th>6x/year</th>
<th>monthly</th>
<th>&gt; monthly</th>
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</thead>
<tbody>
<tr>
<td>Strategic objectives and goals</td>
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<td>Stakeholders involved</td>
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<tr>
<td>Business Model</td>
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<td>Service portfolio of the Living Lab</td>
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<td>Human Resources (LL team)</td>
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<td>Equipment and Infrastructure</td>
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<td>Project outcomes</td>
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<td>Knowledge sharing</td>
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<td>Capacity building</td>
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<tr>
<td>Iterative and reflective approach</td>
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<td>Ethical approach</td>
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</table>

How frequently are the following equipment and infrastructure of your Living Lab accessible to the Living Lab team to be used?
*The purpose of this question is to understand how flexible the operational Living Lab team can use the necessary equipment and infrastructures to run Living Lab activities and projects. Logically, it will be much more difficult to run Living Lab projects and/or activities if for network spaces like co-creation rooms or testing facilities like fab lab spaces are only very irregularly available to be used by the team.*

<table>
<thead>
<tr>
<th>Component</th>
<th>Not available/ not in place</th>
<th>Irregularly (&lt;50%)</th>
<th>Regularly (50-90%)</th>
<th>Continuously (&gt;90%)</th>
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</thead>
<tbody>
<tr>
<td>Office spaces</td>
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<tr>
<td>Testing facilities (e.g., fab lab space, demonstration space...)</td>
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<tr>
<td>Network spaces (e.g., spaces for co-creation, events...)</td>
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<tr>
<td>Co-creation materials (e.g., flipcharts/office supplies, LEGO...)</td>
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<tr>
<td>Communication and interaction platform/tools (e.g., Mailchimp, Teams, Slack...)</td>
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<tr>
<td>Co-creation platforms/tools (e.g., Miro, Mentimeter...)</td>
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<tr>
<td>Co-creation/experimentation devices (e.g., smartphones, iPads, computers, wearables...)</td>
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</tbody>
</table>
Openness

This third chapter investigates the openness of the Living Lab by focusing on the processes, partnerships, feedback, and IP protection. Two evaluation criteria are used to assess this part:

**Innovation partnerships, projects, and processes**, including
- reflective and iterative approach of the Living Lab
- ethical approach of the Living Lab
- openness towards new partners and investors
- presence of the necessary transparent data agreements between the Living Lab and its partners, stakeholders, and users
- level of transparency of the Living Lab

**Ownership of results**, including
- feedback protection
- shared vs. formal ownership
- intellectual property (IP) processes

How is your Living Lab safeguarding a **reflective and iterative approach** to (transdisciplinary) collaboration?
*Multiple answers are possible.*

- The Living Lab is using Living Lab iterative processes (co-creation, exploration, experimentation, evaluation) throughout the execution of Living Lab projects
- Innovations are iterated based on feedback from stakeholders in the previous step(s) of the innovation cycle.
- The tools and methods used by the Living Lab stimulate feedback capturing and allow customers to develop their innovations in an iterative way.
- Lessons learned are captured throughout the execution of Living Lab projects in a reflective way.
- Reflexive monitoring is one of the key principles of the Living Lab.
- The Living Lab has the capability to adjust its roles and processes in response to changing circumstances.
- Other, namely
- None of the above

How is your Living Lab safeguarding an **ethical approach** to (transdisciplinary) collaboration?
*Multiple answers are possible.*

- The Living Lab uses ethical assessments before they participate in projects
- The Living Lab has a code of conduct which defines participation, information sharing, inclusiveness and data privacy and follows ethical principles of experimental and participatory research.
- The Living Lab has appointed a data protection officer
- The Living Lab has made available to the public a privacy policy
- The Living Lab has an ethics committee that oversees and approves the activities and methodologies of its projects.
- The Living Lab always uses a data management plan in its projects
- The Living Lab has dedicated informed consent procedures in its projects
- The Living Lab ethical uses transparency, equality and inclusion in the selection of Living Lab stakeholders (e.g., vulnerable groups of users)
- Other, namely:
- None of the above
How is your Living Lab implementing the required processes regarding **the use, sharing and licensing of data and IP** of collaborative outcomes?

*Multiple answers are possible.*

- The Living Lab has collaborative agreements in place laying down IP rules, addressing aspects such as ownership, protection, and exploitation of project results prior to the initiation of a project.
- The Living Lab signs confidentiality agreements to protect sensitive information regarding IP or personal data.
- The Living Lab ensures a fair distribution of benefits and burden.
- The Living Lab signs user agreements that include the privacy policy and non-disclosure clauses (when applicable) with every individual user of its Living Lab projects.
- The Living Lab provides details of the technical and organizational measures to safeguard the rights and freedoms of the participants.
- The Living Lab provides details of the technical and organizational measures to safeguard the personal data of the participants.
- The Living Lab supports the creation of open source and/or common licenses.
- Other, namely:
  - None of the above.

Which of the following are integrated into the user agreements your Living Lab is signing with every individual user of its projects?

*Multiple answers are possible.*

- Project information (purpose, timeline, expectations...)
- Inclusion and exclusion criteria
- Privacy protection (including data)
- Feedback protection of participant's (explanations about what will be done/not done with the feedback)
- Intellectual property agreements
- User rights and duties
- Risk assessments of technologies used
- Liabilities protection (e.g., insurances)
- Other, namely:
  - None of the above.
Users and reality

This fourth chapter considers the ways in which collaboration with users takes place and the levels of engagement and participation, by focusing on the implementation of an iterative Living Lab process in real life contexts and investigating the quality of used tools and methods. Three evaluation criteria are used to assess this part:

User-centricity of the user and stakeholder engagement approach, including
- description and intensity of the user participation
- user impact on the innovation process
- amount of actively involved users in the Living Lab activities

Quality of the iterative Living Lab processes in real-life contexts, including
- adoption of an iterative Living Lab methodology in the user engagement approach
- involvement of users in real life contexts (e.g., at home, work, in the public space)

Appropriateness of the participatory tools and methods, including
- engagement strategies to match evolving needs of users
- range of used tools and methods
- quality and innovativeness of tools and methods to involve users in the different steps of the iterative Living Lab process

Which different types of stakeholders from your ecosystem are participating as users in Living Lab projects and/or activities over the last 3 years? If your Living Lab is younger than 3 years, please count all finished Living Lab projects since the foundation of your Living Lab.

Multiple choice*

Public sector
- Local government (e.g., city authorities)
- Regional government (e.g., provinces/states)
- National government (e.g., ministries)
- International government (e.g., EU/UN)
- Funding agencies (national/international)
- Funded organizations (e.g., port authorities)

Private sector
- Industry and large private companies
- Start-ups and SME's
- Angel investors/Accelerator program owners
- Sector organizations and associations

Academia
- Universities
- Schools
- Research centers
- Students
- Science communication centers

Society
- NGO's
- Think Tanks
- Community centers
- Communities of citizens/users
- Open innovation labs/arrangements (e.g., fablab, citizen science...)


The international association for public participation (IAP2) has developed the spectrum of public participation to define the role of users/participants in participation processes. This spectrum has become an international standard and describes five general modes of participation.

1. **Inform**: to provide users/participants with balanced and objective information to assist them in understanding the problem, alternatives and/or solutions
2. **Consult**: to obtain users/participants feedback or analysis, alternatives and/or decision
3. **Involve**: to work directly with users/participants throughout the process to ensure that their concerns and aspirations are consistently understood and considered
4. **Collaborate**: to partner with users/participants in each aspect of the decision including the development of alternatives and the identification of the preferred solution
5. **Empower**: to place final decision-making in the hands of user/participants

In general, within your Living Lab, to what extent can users/participants in your Living Lab projects exert influence on the different phases of the Living Lab innovation cycle?

<table>
<thead>
<tr>
<th>Phase</th>
<th>Not involved</th>
<th>Inform</th>
<th>Consult</th>
<th>Involve</th>
<th>Collaborate</th>
<th>Empower</th>
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</thead>
<tbody>
<tr>
<td>Problem identification</td>
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<tr>
<td>Stakeholder integration</td>
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<tr>
<td>Solution design</td>
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<td>Solution development</td>
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<td>Testing solutions</td>
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<td>Evaluating solutions</td>
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<td>Demonstrating solutions</td>
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<td>Implementing solutions</td>
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</table>

How regularly does your organization/Living Lab involve users/participants in their real-life context within the current Living Lab projects of your Living Lab?

Real-life contexts are contexts where users/participants spend the vast majority of their time physically/virtually in relation to the innovation project (e.g., the real-life context of employees of a company are the offices of the company where they work on a daily base; the real-life context of students is the classroom they spend most of their time in)

<table>
<thead>
<tr>
<th>Phase</th>
<th>Not at all</th>
<th>Occasionally (&lt;25% of all activities)</th>
<th>Irregularly (25-49% of all project activities/steps)</th>
<th>Regularly (50-75% of all project activities/steps)</th>
<th>Almost always (&gt;75% of all project activities/steps)</th>
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<tbody>
<tr>
<td>Problem identification</td>
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<tr>
<td>Stakeholder integration</td>
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<td>Solution design</td>
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<td>Solution development</td>
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<td>Testing solutions</td>
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<td>Evaluating solutions</td>
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<td>Demonstrating solutions</td>
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<tr>
<td>Implementing solutions</td>
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<tr>
<td>I don’t know</td>
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</tbody>
</table>
Which of the participatory tools and methods displayed here below are used by your organization/Living Lab?

*Multiple choice*

- Focus groups
- Interviews
- Brainstorms
- Hackathon
- Probing
- Nudging
- LEGO Serious play
- Survey
- Image theatre
- Decision theatre
- Drawings
- (Visual) Mind maps
- (User)Diaries/journals
- Stakeholder journeys
- Thought shower
- Serious games
- Talking walls
- Idea cards
- Forcefield analysis
- Design charette
- World cafe
- Vision factory
- Role-play
- Songs
- Power interest matrix
- Problem tree
- Future workshop
- User events
- Photo walk
- Usability testing
- Dotmocracy
- Participatory mappings
- Citizens jury
- Gender Jumble
- Other, namely
- None of the above

In which phases of the Living Lab innovation cycle is your Living Lab/organization using these participatory tools and methods?

<table>
<thead>
<tr>
<th>Problem identification / stakeholder integration / co-design / co-creation / experimentation / evaluation / demonstration / not used</th>
</tr>
</thead>
<tbody>
<tr>
<td>carried forward answers previous question</td>
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</tbody>
</table>
Impact and Value

This section assesses the level of participation in the development of co-created values (e.g., knowledge sharing, capacity building, network building) and even more importantly who they have been designed for. Furthermore, it investigates how the Living Lab is tracking impacts generated by the Living Lab. Two criteria are used to assess this part:

Co-created values, including
- user and stakeholder satisfaction (e.g., influence on the process, capacity building)
- degree of knowledge exchange among Living Lab stakeholders (e.g. community platform, knowledge hub)
- academic validation for researchers (e.g., publications)
- capacity building for/by network actors (e.g., learning materials, trainings)

Impact of the Living Lab, including
- monitoring of impacts
- societal impact (e.g., behavioral change, inclusion, diversity, digital gap)
- economic impact (e.g., patents, market disruption, speed of market penetration, decrease of cost)
- environmental impact (e.g., reduction of pollution, increase of air quality)
- regulatory impact (e.g., public policies, regulations)
- technological impact (e.g., increase TRL levels of technologies)

How many times/year does your Living Lab share information, knowledge and results with its users/participants and external stakeholders?

Information and knowledge can be shared via newsletters, updates on the website, events, social media, meetings...
- <1X/year
- 1x/year
- 2x/year
- 3x/year
- 4x/year
- 6x/year
- monthly
- more than monthly

Which types of learning materials (capacity building) has your Living Lab produced for different types of stakeholders over the last 3 years?

Learning materials are any collection of materials to help achieve desired learning objectives.

Multiple choice*
- Academic papers
- Best practices
- Datasets
- E-courses
- Infographics
- Mentoring programs
- Methods and tools
- Podcasts
- Policy briefs
- Project sheets/leaflets
- Trainings
- Videos
- Webinars
- White papers
- WIKI’s
- Other, namely:
- None of the above
Does your Living Lab have methods in place to monitor the satisfaction of users and/or stakeholders concerning their involvement/influence on the innovation cycle and concerning knowledge sharing and capacity building?

<table>
<thead>
<tr>
<th>Question</th>
<th>I don't know</th>
<th>yes</th>
<th>no</th>
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</thead>
<tbody>
<tr>
<td>Frequency of involvement as user/stakeholder</td>
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<tr>
<td>Degree of influence on the innovation cycle as user/stakeholder</td>
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<tr>
<td>Knowledge sharing by the Living Lab</td>
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<tr>
<td>Capacity building by the Living Lab</td>
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</table>
Does your organization/Living Lab uses standardized methods and forms to monitor the satisfaction of users and/or stakeholders across different Living Lab projects and activities? 

*With standardized methods and forms we mean if you always ask the same satisfaction questions to your users/stakeholders.*

Yes/No  
I don’t know

How frequently are the following different types of impact of the Living Lab monitored by internal self-monitoring impact assessment processes beyond the scope of an individual Living Lab project?  

*Measuring the frequency of impact assessments is an indicator of the strength of the Living Lab since it allows the Living Lab to change/strengthen its strategies and approaches based on these impact assessments.*

<table>
<thead>
<tr>
<th>Type of Impact</th>
<th>Not being monitored</th>
<th>&lt;1x/ year</th>
<th>1x/ year</th>
<th>2X/ year</th>
<th>3x/ year</th>
<th>quarterly</th>
<th>bi-monthly</th>
<th>monthly</th>
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<tr>
<td>Societal impact</td>
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<td>Environmental impact</td>
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<td>Economic impact</td>
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<td>Regulatory impact</td>
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<td>Academic impact</td>
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<td>Technological impact</td>
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<td>Other, namely:</td>
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</table>
Stability and harmonization

The final section focuses on the (financial) stability of the Living Lab from a macro-level perspective, considering different aspects like the strength of the partnerships in the Living Lab and the revenue streams of the Living Lab. Next to this, it investigates replication (scale-up) of services, tools, methods and/or infrastructures of the Living Lab. Finally, it looks at the level of harmonization of these strategic and operational building blocks beyond the Living Lab since harmonization will increase the sustainability of the Living Lab.

Stability, including
- level of financial sustainability based on a balanced and diversified set of fundings and revenue streams
- strength of partnerships
- degree of network collaboration

Harmonization and scale-up, including
- standardization of Living Lab procedures, processes, tools, methods and technologies
- replication of Living Lab processes, tools, methods, infrastructures and solutions
- cross-sectoral and geographical collaboration

How many partners have joined or left the managing group/governance team of your organization/Living Lab over the last 3 years?
Assessing if a Living Lab has a growing number of partners contributing to the Living Lab is an indicator for the stability of the Living Lab, assessing the departure of partners is an indicator for the strength of the partnerships within the Living Lab governance.

Joined
Left

Which types of Living Lab services, tools, methods and/or Living Lab infrastructures developed by your organization/Living Lab have been replicated by partners of the managing group/governance team of your organization/Living Lab over the last 3 years?
Multiple answers are possible.
Living Lab services (e.g., testing and validation services, co-creation services, Living Lab project planning and management...)
Living Lab tools (e.g., stakeholder mapping, co-creation...)
Living Lab methods (e.g., user engagement process, testing procedures...)
Living Lab equipment and infrastructures (e.g., testing facilities, interaction platforms...)
Other, namely:
None of the above

Which types of Living Lab services, tools, methods and/or Living Lab infrastructures developed by your organization/Living Lab have been replicated by other Living Lab (networks) over the last 3 years? Multiple answers are possible.
Living Lab services (e.g., testing and validation services, co-creation services, Living Lab project planning and management...)
Living Lab tools (e.g., stakeholder mapping, co-creation...)
Living Lab methods (e.g., user engagement process, testing procedures...)
Living Lab equipment and infrastructures (e.g., testing facilities, interaction platforms...)
Other, namely:
None of the above
Looking at the overall finances of your organization (Living Lab), approximately what % of revenues are provided by different funding streams?

Please add % to reach 100% in total. We don't expect calculations to the 1% accuracy, an indication of 100-50-25-10-5% is more than sufficient.

A stable Living Lab is not depending on one type of financial resource. Therefore, with this question we want to assess the balance and diversification of the funding streams of the Living Lab.

Public funding
Project funding
Private funding
Revenues from own LL services
Other revenues

What kind of other revenues are provided to your living lab? **If other revenues in previous question**

For how long are these different revenue streams secured?

<table>
<thead>
<tr>
<th></th>
<th>Less than 1 year</th>
<th>1 to 2 years</th>
<th>2 to 3 years</th>
<th>3 to 4 years</th>
<th>4 to 5 years</th>
<th>+ 5 years</th>
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<tbody>
<tr>
<td>Public funding</td>
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<td>Project funding</td>
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<tr>
<td>Private funding</td>
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<td>Revenues from own LL services</td>
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<td>Other, namely:</td>
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</table>

Over the last 3 years, has your Living Lab been involved in projects and/or initiatives in which multiple Living Labs, cross-border/cross-sector, collaborate, using harmonized Living Lab processes, tools, methods and/or infrastructures?

*In these projects/initiatives, Living Labs use the same procedures, tools, methods, or infrastructures to run the project/initiative. For instance, they all communicate and interact with their end-users in the same way or they all test solutions with the same testing procedures.*

Yes, a project/initiative using harmonized Living Lab processes (e.g. Living Lab integrative process)

Yes, a project/initiative using harmonized Living Lab tools and/or methods (e.g. harmonized stakeholder mapping, experimentation tools)

Yes, a project/initiative using harmonized Living Lab equipment and infrastructure (e.g., testing facilities, interaction platforms)

I don't know

None of the above

Would you like to add other comments and/or remarks concerning this self-assessment, feel free to add them here below.

**END OF SURVEY**